



09/24/03

17119 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Boris Tabakoff and Larry Martinez for Genetic Diagnosis of Depression.



CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the U.S. Postal Service on this date **September 24, 2003** in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number **EV 329476837 US** addressed to: **Box Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Karen B. Webber
Karen B. Webber

1. **Type Of Application**
This new application is for a(n)
☒ Original (nonprovisional)
2. **Benefit Of Prior U.S. Application(s)** (35 U.S.C. §§ 119(e), 120, or 121)
☒ The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are **ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.**
3. **Papers Enclosed That Are Required For Filing Date Under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design) Application**
46 Pages of Specification
5 Pages of Claims
1 Page of Abstract
4 Sheets of Formal Drawings
4. **Additional Papers Enclosed**
☒ Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
☒ Certificate Re: Sequence Listing
5. **Declaration**
☒ Enclosed (unexecuted)
6. **Inventorship Statement**
The inventorship for all the claims in this application is:
☒ the same
7. **Language**
☒ English
8. **Assignment**
☒ An assignment of the invention to **The Regents of the University of Colorado, a body corporate** is attached (unexecuted).
☒ Form PTO-1595 will follow.

9. **Fee Calculation (37 C.F.R. § 1.16)**

☒ Regular application

CLAIMS AS FILED

Number Filed	Number Extra	Rate	Basic Fee - \$750.00 (37 C.F.R. § 1.16(a))
Total Claims (37 C.F.R. § 1.16(c))	32 - 20 =	12 × \$18.00 =	\$216.00
Independent Claims (37 C.F.R. § 1.16(b))	4 - 3 =	1 × \$84.00 =	\$84.00
Multiple Dependent Claim(s), if any (37 C.F.R. § 1.16(d))	+ \$280.00 =		\$0.00

Filing Fee Calculation \$1050.00

10. **Small Entity Statement(s)**

☒ Verified Statement(s) that this is a filing by a small entity under 37 C.F.R. §§ 1.9 and 1.27.

Filing Fee Calculation (50% of above) \$525.00

11. **Fee Payment Being Made At This Time**

☒ Enclosed

☒ basic filing fee \$525.00

Total Fees Enclosed \$525.00

12. **Method of Payment of Fees**

☒ Check in the amount of \$525.00

13. **Authorization To Charge Additional Fees and Credit Overpayment**

☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No.: 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

14. **Power of Attorney by Assignee**

☒ Enclosed (unexecuted)

15. **Return Receipt Postcard**

☒ Enclosed

Dated: September 24, 2003



Christine A. Lekutis
Registration No.: 51,934

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☒ **Incorporation By Reference Of Added Pages**

☒ Plus Added Pages For New Application Transmittal Where Benefit Of Prior U.S. Application(s)
Claimed

Number of pages added 1

**ADDED PAGES FOR APPLICATION TRANSMITTAL
WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED**

16. **Relate Back 35 U.S.C. §§ 119(e), 120, 121 and 365(c)**

- ☒ Amend the Specification by inserting before the first line the sentence: "The present application claims priority to U.S. Provisional Patent Appln. No. 60/413,318 filed on September 24, 2002."